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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

10

ATTORNEY'S DOCKET NUMBER 500.45008X00

APPLICATION NO. (If known, see 37 CFR

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | |
|---|---|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/JP02/10844 | | INTERNATIONAL FILING DATE 18 October 2002 | PRIORITY DATE CLAIMED | | |
| TITLE OF INVENTION METHOD FOR FABRICATION SEMICONDUCTOR DEVICE | | | | | |
| APPLICANT(S) FOR DO/EO/US Nobuyuki NEGISHI et al. | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. X | This is a FIRST submission of items concerning a submission-under 35 U.S.C. 371. | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | |
| з. 🔲 - | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | |
| 4. X | The US has been elected (Article 31). | | | | |
| 5. X | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | |
| | b. x has been communicated by the International Bureau. | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | |
| 6. X | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | |
| | a. X is attached hereto. | | | | |
| | b. has been previously submi | tted under 35 U.S.C. 154(d)(4). | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | |
| | b. have been communicated by the International Bureau. | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | |
| | d. have not been made and | will not be made. | · | | |
| в. 🗆 | An English language translation of the | ne amendments to the claims under PCT A | rticle 19 (35 U.S.C. 371(c)(3)). | | |
| 9. 🔲 | An oath or declaration of the inventor | r(s) (35 U.S.C. 371(c)(4)). | | | |
| 10. | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | ne annexes of the International Preliminary | Examination Report under PCT | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | |
| 11. | An Information Disclosure Statement | t under 37 CFR 1.97 and 1.98. | · | | |
| 12. | An assignment document for recordi | ng. A separate cover sheet in compliance v | with 37 CFR 3.28 and 3.31 is included. | | |
| 13. | A preliminary amendment. | | | | |
| 14. 📙 | An Application Data Sheet under 37 CFR 1.76. | | | | |
| 15. | A substitute specification. | | | | |
| 16. 🗆 | A power of attorney and/or change of address letter. | | | | |
| 17. | A computer-readable form of the sec | quence listing in accordance with PCT Rule | a 13/er.2 and 37 CFR 1.821- 1.825. | | |
| 18. | A second copy of the published Inter | mational Application under 35 U.S.C. 154(| d)(4). | | |
| 19. | A second copy of the English langua | age translation of the international application | on under 35 U.S.C. 154(d)(4). | | |
| 20 X | Other items or information: Figs. | 1-18; Request for Examination | n and Search Form; Credit Card Payment | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comment of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S: DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP02/10844 500.45008X00 The following Applicant use Office use only X a) Basic national fee \$300.00 .. \$300.00 b) Examination fee ... \$ 200.00 \$200.00 x c) Search fee \$ 500.00 \$500.00 TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00 \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = x \$250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 20 - 20 = \$ \$50.00 Independent claims - 3 = 5 \$200.00 2 \$400.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 TOTAL OF ABOVE CALCULATIONS = \$1,400.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced SUBTOTAL = \$1,400.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$1,400.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1,400.00 Amount to be refunded: Amount to be charged A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ ____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2135 . A duplicate copy of this sheet is enclosed. a. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Antonelli, Terry, Stout & Kraus LLP. Suite 1800 1300 North Seventeenth Street Arlington, VIRGINIA 22209 Melvin Kraus NAME Customer No. 020457 22,466 **REGISTRATION NUMBER**

10/531700

JC13 Rec'd PTO 18 APR 2005

ATTACHMENT

| International Application No. | International Filing Date | Attorney Docket No. |
|-------------------------------|---------------------------|---------------------|
| PCT/JP02/10844 | 18 October 2002 | 500.45008X00 |

- 1. International Publication No. WO 2004/036638 A1-Cover Sheet.
- 2. Notice Informing the Application of the Communication of the International Application to the Designated Offices.
- 3. International Search Report.
- 4. International Preliminary Examination Report.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Nobuyuki NEGISHI et al.

Application No.:

(not yet assigned)

Filed:

April 18, 2005

For:

METHOD FOR FABRICATION SEMICONDUCTOR DEVICE

REQUEST FOR EXAMINATION AND SEARCH

April 18, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (referencing case No. 500.45008X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

Melvin Kraus

Registration No. 22,466

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